

IN THE UNITED STATES DISTRICT COURT FOR
THE SOUTHERN DISTRICT OF WEST VIRGINIA

CHARLESTON DIVISION

NORMA PATTERSON and
RANDALL PATTERSON,

Plaintiffs,

v.

Civil Action No. 2:12-cv-03394

ETHICON, INC., et al.,

Defendants.

MEMORANDUM OPINION AND ORDER

On September 19, 2018, a Suggestion of Death was filed by plaintiffs' counsel suggesting the death of Norma Patterson during the pendency of this civil action. [ECF No. 32]. On February 13, 2019, defendants filed a Motion to Dismiss for failure to substitute the deceased party. [ECF No. 38].

Pursuant to Federal Rule of Civil Procedure 25(a) and Pretrial Order ("PTO") # 308 (Requirements for Counsel to Deceased Plaintiffs) filed in In re: Ethicon, Inc. Pelvic Repair System Products Liab. Litig., 2:12-md-2327 [ECF No. 6218], the time to substitute a proper party for the deceased party has expired and there has been no motion to substitute the deceased party.

I. Background

This action resides in one of seven MDLs originally assigned to me by the Judicial Panel on Multidistrict Litigation concerning the use of transvaginal surgical mesh to treat pelvic organ prolapse ("POP") and stress urinary incontinence ("SUI"). This particular case involves Kentucky co-plaintiffs, one of whom, Ms. Patterson, was

implanted at St. Joseph Hospital in London, Kentucky with the TVT, a mesh product manufactured by Ethicon, Inc. Amnd. Short Form Compl. [ECF No. 14] ¶¶ 1-11. On September 19, 2018, plaintiffs' counsel filed a Suggestion of Death noting that Ms. Patterson died during the pendency of this action [ECF No. 32].

II. Legal Standards

a. Rule 25

Rule 25 governs the process for substituting or dismissing a case after a plaintiff has died. *See Fed. R. Civ. P. 25*. The rule provides:

If a party dies and the claim is not extinguished, the court may order substitution of the proper party. A motion for substitution may be made by any party or by the decedent's successor or representative. If the motion is not made within 90 days after service of a statement noting the death, the action by or against the decedent must be dismissed.

Fed. R. Civ. P. 25(a)(1). This rule also states that, “[a] motion to substitute, together with a notice of hearing, must be served on the parties as provided in Rule 5 and on nonparties as provided in Rule 4. A statement noting death must be served in the same manner.” Fed. R. Civ. P. 25(a)(3). The above-mentioned 90-day clock does not begin to run until the decedent’s successors or representatives are served with a statement noting death. *See Farris v. Lynchburg*, 769 F.2d 958, 962 (4th Cir. 1985). If the successor or representative is party to the action, service must be made on the party’s attorney. Fed. R. Civ. P. 5(b)(1).

Whether a claim is extinguished is determined by the substantive law of the jurisdiction in which the cause of action arose. *See Robertson v. Wegmann*, 436 U.S. 584, 587 n.3 (1991) (explaining that a claim is not extinguished if the jurisdiction allows the action to survive a party’s death). Traditionally, state statutes expressly

state whether a claim survives a deceased party and to whom survivorship is allowed. *Id.* at 589. If a case includes multiple plaintiffs, the death of one plaintiff does not cause an abatement of the claims for the remaining parties. *See Fed. R. Civ. P.* 25(a)(2) (“After a party’s death, if the right sought to be enforced survives only to or against the remaining parties, the action does not abate, but proceeds in favor of or against the remaining parties.”).

b. PTO # 308

In Pretrial Order (“PTO”) # 308, the court required that “[f]or any case in which plaintiff’s counsel subsequently learns of the death of his or her client, plaintiff’s counsel shall file the suggestion of death within 120 days of counsel’s learning of the death.” Pretrial Order # 308, p. 3, 2:12-md-2327 [ECF # 6218]. In addition, the court directed that

within the same 120-day period, plaintiff’s counsel must serve the suggestion of death on the parties and appropriate nonparties as described above, and file proof of such service with the court. The ninety-day substitution period provided by Rule 25(a) will commence upon the filing and proper service of the suggestion of death. In the event that plaintiff’s counsel fails to file the suggestion of death and properly serve it on the appropriate nonparties, the ninety-day substitution period will commence 120 days after the entry of this Order or 120 days after counsel’s learning of the death of his or her client, whichever is later.

Id. at 3–4.

While this burden is on plaintiffs’ counsel, defendants’ counsel may also file a suggestion of death on the record. “The filing of the suggestion of death by defendant’s counsel places plaintiff’s counsel on notice of his or her client’s death, and therefore commences the 120-day period within which plaintiff’s counsel must serve the suggestion of death on the appropriate nonparties.” *Id.* at 4. If they should fail to

serve the suggestion of death, the ninety-day substitution period commences 120 days after defendants' counsel filed the suggestion of death. *Id.*

c. Choice of Law

If a plaintiff files her claim directly in the MDL in the Southern District of West Virginia, the court consults the choice-of-law rules of the state where the plaintiff was implanted with the product. *See Sanchez v. Boston Scientific Corp.*, 2:12-cv-05762, 2014 WL 202787, at *4 (S.D. W. Va. Jan. 17, 2014) (“For cases that originate elsewhere and are directly filed into the MDL, the court will follow the better-reasoned authority that applies the choice-of-law rules of the originating jurisdiction, which in our case is the state in which the plaintiff was implanted with the product.”). Ms. Patterson underwent implantation surgery in Kentucky. Thus, the choice-of-law principles of Kentucky guide the court’s choice-of-law analysis.

Kentucky applies a “significant contact” test for tort claims. *Saleba v. Schrand*, 300 S.W.3d 177, 181 (Ky. 2009). “Under this test, ‘any significant contact with Kentucky [is] sufficient to allow Kentucky law to be applied.’” *Sierra v. Williamson*, No. 4:10-CV-00079-TBR, 2013 WL 3456988, at *5 (W.D. Ky. Jul. 9, 2013) (quoting *Bonnlander v. Leader Nat'l Ins. Co.*, 949 S.W.2d 618, 620 (Ky. Ct. App. 1996) (alteration in original)). Further, there is a “provincial tendency in Kentucky choice-of-law rules,” *Wallace Hardware Co. v. Abrams*, 223 F.3d 382, 391 (6th Cir. 2000), whereby “there is a strong preference in Kentucky for applying Kentucky law.” *Wells Fargo Fin. Leasing, Inc. v. Griffin*, 970 F. Supp. 2d 700, 707 (W.D. Ky. 2013). The place of plaintiff’s residence and place of injury are “significant contacts” justifying the application of Kentucky law in the tort context. *See Bosch v. Bayer Healthcare Pharms., Inc.*, 13 F. Supp. 3d 730, 736 (W.D. Ky. 2014) (location of the relevant

surgery or medical procedure is considered significant contact). Here, Ms. Patterson lived in Kentucky and was implanted with the device in Kentucky. Thus, I apply Kentucky's substantive law to this case.

III. Analysis

The plaintiffs' counsel filed a Suggestion of Death on September 19, 2018, noting that Ms. Patterson died while this litigation was pending. [ECF No. 32]. On November 12, 2018, plaintiffs' counsel filed a notice of completion of notice to heirs, asserting that they had complied with all requirements of PTO # 308. [ECF No. 37]. Pursuant to Rule 25(a)(1) and PTO # 308, the time for substituting any party or non-party for the deceased plaintiff has passed.

Rule 25(a)(1) provides the sole procedural device allowing decedent's successor or representative to step into Ms. Patterson's shoes and pursue litigation on her behalf. *See Fed. R. Civ. P. 25(a)(1)* ("A motion for substitution may be made by any party or by the decedent's successor or representative."). Neither Mr. Patterson nor any non-party successor or representative has complied with the substitution requirements of Rule 25(a)(1) within the time requirements as set forth in Rule 25(a) and PTO # 308. Accordingly, the court **ORDERS** that defendants' Motion to Dismiss [ECF No. 38] is **GRANTED in part** insofar as the claims of Norma Patterson are **DISMISSED without prejudice**.

While failure to comply with Rule 25(a)(1) prevents Mr. Patterson from pursuing claims on Ms. Patterson's behalf, Rule 25(a)(2) does not prevent Mr. Patterson from pursuing claims on his own behalf. *See Fed. R. Civ. P. 25(a)(2)* ("After a party's death, if the right sought to be enforced survives only to or against the

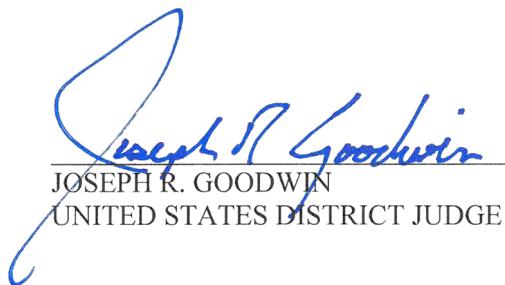
remaining parties, the action does not abate, but proceeds in favor of or against the remaining parties.”). In this matter, only Mr. Patterson’s claim for loss of consortium remains. While a loss of consortium claim is considered derivative under Kentucky law, it is still independent under some circumstances. *Norton v. Canadian Am. Tank Lines*, 2009 WL 931137, at *3 (W.D. Ky. Apr. 3, 2009). There is no requirement that consortium claims be joined with the underlying claim in Kentucky. *Kotsiris v. Ling*, 451 S.W.2d 411, 412 (Ky. Ct. App. 1970). Accordingly, defendants’ Motion to Dismiss [ECF No. 38] is **DENIED in part to the extent defendants seek an order dismissing Mr. Patterson.**

IV. Conclusion

In summary, it is **ORDERED** that defendants’ Motion to Dismiss [ECF No. 38] is **GRANTED in part** and **DENIED in part**. The claims of the plaintiff Norma Patterson are **DISMISSED** without prejudice pursuant to Rule 25(a)(1) and PTO # 308.

The court **DIRECTS** the Clerk to send a copy of this Order to counsel of record any unrepresented party.

ENTER: October 29, 2020



JOSEPH R. GOODWIN
UNITED STATES DISTRICT JUDGE